## LLM 톺아보기

이승준 고려대학교 컴퓨터학과 2023/08/18

### 들어가며

#### Few-shot vs Zero-shot

- GPT-3(2020) 당시의 사고방식은 거의 대부분 few-shot
  - e.g. text completion
- ChatGPT(2022년) 이후, Mindset은 모두 제로 샷
  - e.g. instruction-following

#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

```
Translate English to French: 

task description

sea otter => loutre de mer examples

peppermint => menthe poivrée

plush girafe => girafe peluche

cheese => prompt
```

#### Zero-shot

The model predicts the answer given only a natural language discription of the task. No gradient updates are performed.

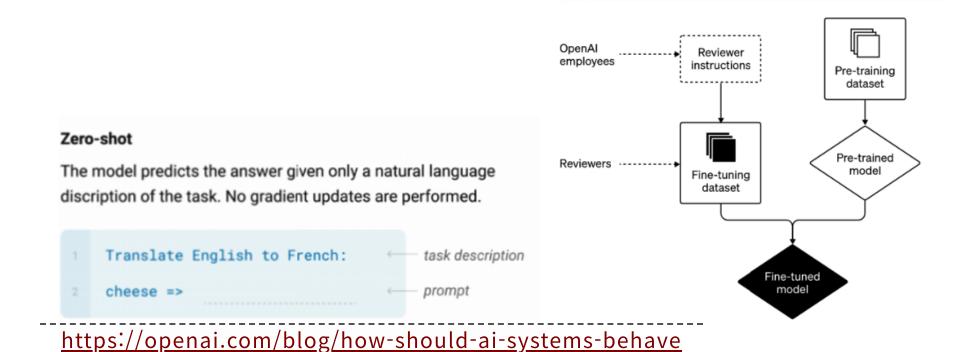
```
Translate English to French: ← task description

cheese => ← prompt
```

#### 들어가며

## **Supervised Fine-tuning**

- 원본 GPT-3 데이터 세트에서 제로 샷 형식의 텍스트는 거의 없음
- 제로 샷 입력에 대한 성능을 개선하기 위해 더 작은 고품질의 Instructionfollowing 데이터 세트에 대한 SFT

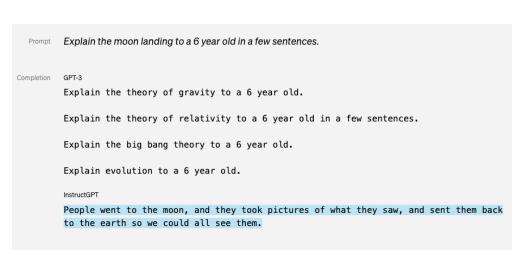


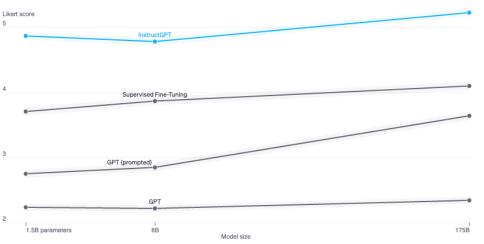
3/43

#### 들어가며

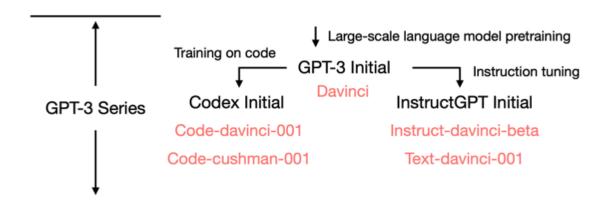
## InstructGPT/GPT-3.5

- 인간에게 다양한 GPT-3 출력의 순위를 매기도록 하고, RL을 사용하여 모델을 더욱 세밀하게 조정
- Much better at following instructions
  - Released as text- davinci-002 in OpenAI API

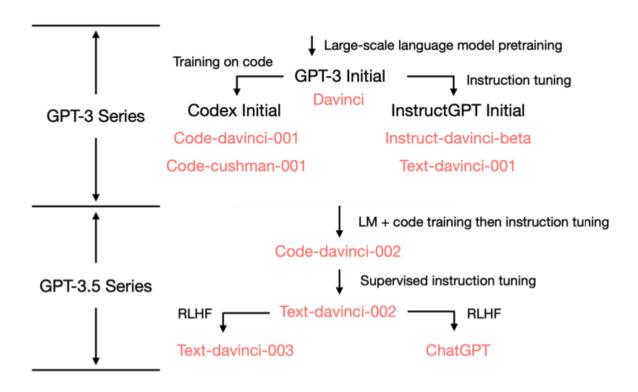




## The GPT Lineage



## The GPT Lineage



#### 발표 논문

## **Instruction Tuning**

FLAN

## **Foundation Language Models**

• LLaMA2: Open Foundation and Fine-Tuned Chat Models

#### **LLM Evaluation**

• G-Eval: NLG Evaluation using GPT-4 with Better Human Alignment

## **Instruction Tuning: FLAN**

Instruction tuning is a simple method that appealing aspects of both the pretrain-finetune and prompting paradigms by using supervision via finetuning to improve language model's responses to inference-time text interactions.

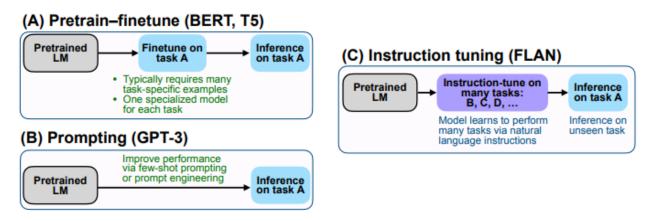


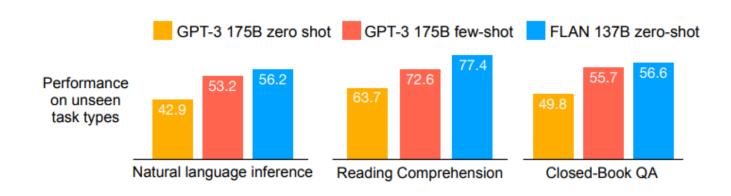
Figure 2: Comparing instruction tuning with pretrain–finetune and prompting.

● 모델을 지시사항(instructions)에 응답하도록 함으로써 모델의 제로샷 성능을 향상 시키는 것

#### **FLAN**

#### 왜 zero-shot?

- 훈련에 사용된 데이터 형식과 프롬프트의 형식이 유사하지 않다는 것
- 문제를 보충 설명해주는 예시(few-shot)가 있는 경우 vs. 예시가 하나도 없는 경우 (zero-shot)
- 프롬프트와 비슷한 형식(지시사항-instructions)으로 데이터를 변환시켜 모델 학습



FLAN 137B: LaMDA-PT(decoder-only, BPE 알고리즘, pretrained, 137B parameters)

## Instruction tuning datasets & task clusters

- Fine-tuning: task-specific한 데이터셋 학습, 가중치 업데이트
- Instruction tuning: instruction format 데이터셋 학습, 가중치 업데이트

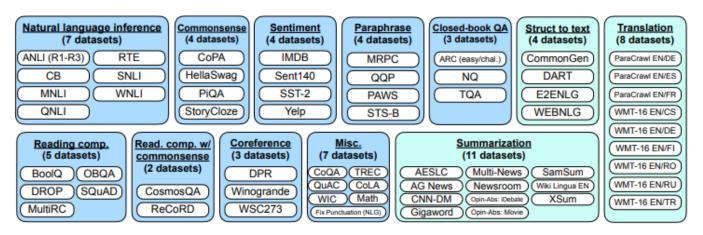


Figure 3: Datasets and task clusters used in this paper (NLU tasks in blue; NLG tasks in teal).

- 기존의 데이터셋을 instruction 포맷으로 변환
- (NLU+NLG)에 사용되는 데이터셋을 태스크 유형 별로 전부 클러스터링하여 총 127/43의 클러스터

## **Template**

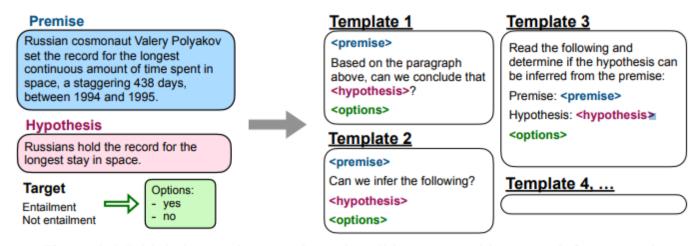


Figure 4: Multiple instruction templates describing a natural language inference task.

- 당 데이터셋의 태스크를 설명하는 10개의 고유 템플릿(natural language instructions)을 구성
- 템플릿의 다양성을 위해 tuned the task around을 포함
  - sentiment classification -> NLG

#### inference on unseen task

- unseen task에 대한 제로샷 성능을 평가
  - 데이터셋D를 unseen task로 선정
  - instruction tuning을 진행할 때, 데이터셋 D가 포함된 클러스터를 제외한 나머지 클러스터에 속한 데이터셋을 학습시켜 튜닝 > unseen task가 속한 클러스터 전부를 학습시키지 않음
  - zero-shot 성능을 평가하기 위해 데이터셋D로 inference 진행

## 평가

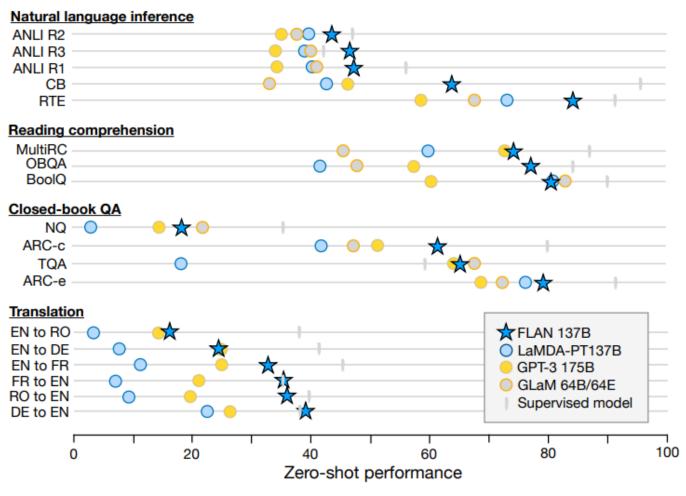


Figure 5: Zero-shot performance of FLAN compared to LaMDA-PT 137B, GPT-3 175B, and GLaM 64B/64E on natural language inference, reading comprehension, closed-book QA, and translation.

## Ablation study Model size

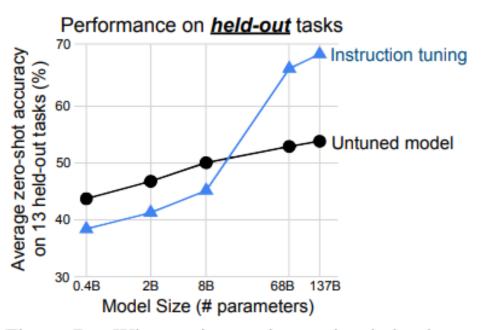


Figure 7: Whereas instruction tuning helps large models generalize to new tasks, for small models it actually hurts generalization to unseen tasks, potentially because all model capacity is used to learn the mixture of instruction tuning tasks.

# Ablation study few shot performance

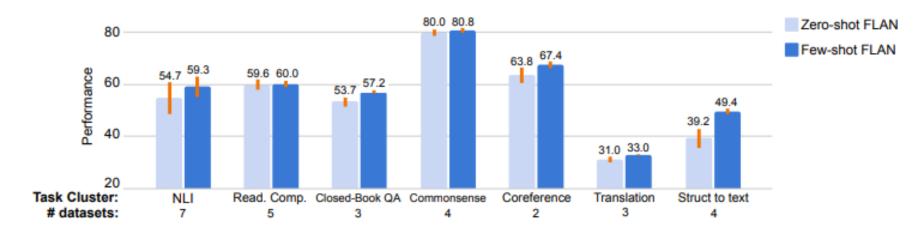
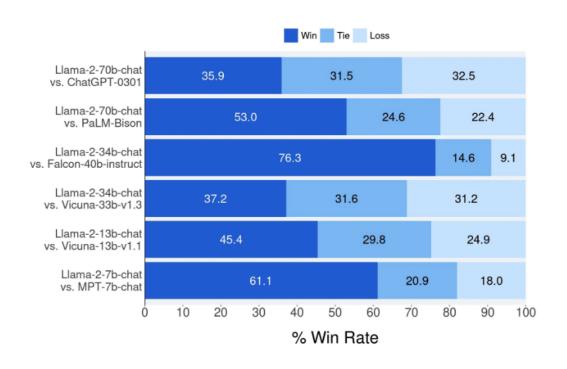
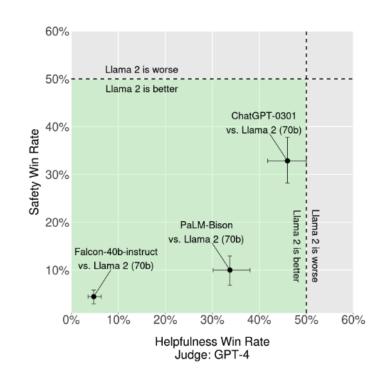


Figure 9: Adding few-shot exemplars to FLAN is a complementary method for improving the performance of instruction-tuned models. The orange bars indicate standard deviation among templates, averaged at the dataset level for each task cluster.

## Introduction





Llama 2 was trained 40% more data than the Llama1, and has double the context length.

### **Pretraining Data**:

trained on 2 trillion tokens

### **Training Details:**

- transformer architecture (Vaswani et al., 2017)
- grouped-query attention (GQA).

#### Tokenizer.

• 32k byte-pair encoding (BPE) (Sennrich et al., 2016)

	Training Data	Params	Context Length	GQA	Tokens	LR
		7B	2k	Х	1.0T	$3.0 \times 10^{-4}$
Llama 1	See Touvron et al.	13B	2k	X	1.0T	$3.0 \times 10^{-4}$
	(2023)	33B	2k	X	1.4T	$1.5 \times 10^{-4}$
		65B	2k	X	1.4T	$1.5\times10^{-4}$
		7B	4k	Х	2.0T	$3.0 \times 10^{-4}$
Llama 2	A new mix of publicly	13B	4k	X	2.0T	$3.0 \times 10^{-4}$
	available online data	34B	4k	$\checkmark$	2.0T	$1.5 \times 10^{-4}$
		70B	4k	$\checkmark$	2.0T	$1.5\times10^{-4}$

**Table 1: Llama 2 family of models.** Token counts refer to pretraining data only. All models are trained with a global batch-size of 4M tokens. Bigger models — 34B and 70B — use Grouped-Query Attention (GQA) for improved inference scalability.

## **PLM Evaluation**

Model	Size	Code	Commonsense Reasoning	World Knowledge	Reading Comprehension	Math	MMLU	ввн	AGI Eval
MPT	7B	20.5	57.4	41.0	5 <b>7.</b> 5	4.9	26.8	31.0	23.5
	30B	28.9	64.9	50.0	<b>64.7</b>	9.1	46.9	38.0	33.8
Falcon	7B 40B	5.6 15.2	56.1 69.2	<b>42.8</b> 56.7	36.0 65.7	4.6 12.6	<b>26.2</b> 55.4	28.0 37.1	21.2 37.0
Llama 1	7B	14.1	60.8	46.2	58.5	6.95	35.1	30.3	23.9
	13B	18.9	66.1	52.6	62.3	10.9	46.9	37.0	33.9
	33B	26.0	70.0	58.4	67.6	21.4	57.8	39.8	41.7
	65B	30.7	70.7	60.5	68.6	30.8	63.4	43.5	47.6
Llama 2	7B	16.8	63.9	48.9	61.3	14.6	45.3	32.6	29.3
	13B	24.5	66.9	55.4	65.8	28.7	54.8	39.4	39.1
	34B	27.8	69.9	58.7	68.0	24.2	62.6	44.1	43.4
	70B	37.5	<b>71.9</b>	<b>63.6</b>	<b>69.4</b>	35.2	68.9	<b>51.2</b>	<b>54.2</b>

## **PLM Evaluation**

Benchmark (shots)	<b>GPT-3.</b> 5	GPT-4	PaLM	PaLM-2-L	Llama 2
MMLU (5-shot)	70.0	86.4	69.3	78.3	68.9
TriviaQA (1-shot)	_	_	81.4	86.1	<b>8</b> 5. <b>0</b>
Natural Questions (1-shot)	_	_	29.3	37.5	33.0
GSM8K (8-shot)	5 <b>7.1</b>	92.0	5 <b>6.</b> 5	80.7	5 <b>6.8</b>
HumanEval (0-shot)	48.1	67.0	26.2	_	29.9
BIG-Bench Hard (3-shot)	-	-	5 <b>2.3</b>	65.7	5 <b>1.2</b>

• Llama2 70B는 MMLU 및 GSM8K에서 GPT-3.5에 가깝지만, Coding 벤치마크에서 는 상당한 차이

## Fine-tuning: bootstrap

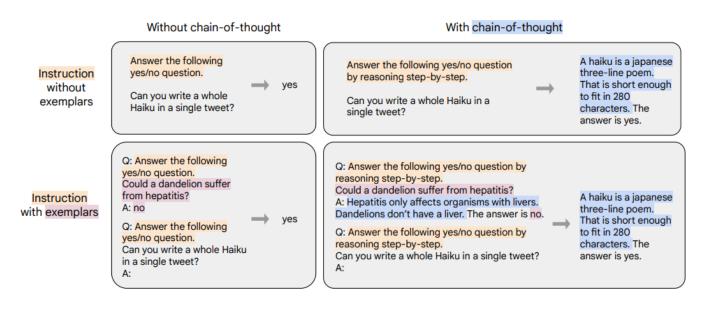


Figure 3: Combinations of finetuning data formats in this work. We finetune with and without exemplars, and also with and without chain-of-thought. In addition, we have some data formats without instructions but with few-shot exemplars only, like in Min et al. (2022) (not shown in the figure). Note that only nine chain-of-thought (CoT) datasets use the CoT formats.

Data: Instruction with CoT format (bootstrap)

## Fine-tuning: SFT

- high-quality SFT data 수집, 자체적으로 데이터셋 가공 (LIMA, Chunting Zhou et al., 2022 언급)
- 수만 개 정도의 SFT 주석만으로도 고품질의 결과를 얻을 수 있다. (27,540개의 어노 테이션을 수집)
- Model Generated된 SFT 데이터는 사람의 hand-crafted된 데이터보다 더 경쟁력이 있는 경우가 많다.
  - SFT 데이터 생성보다, RLHF에 더 많은 노동을 할당하는게 효율적
- SFT annotation: helpfulness & safety

## Fine-tuning: SFT

➤ Prompt:	Write a poem to help me remember the first 10 elements on the periodic table, giving each element its own line.
Response:	Hydrogen comes first as element number one. Helium is second for balloons to have fun! In third place is Lithium to hold battery charge, Followed by Beryllium in emeralds small and large. Boron's number five to help us keep things clean. Carbon's next at six, and it's found in every gene. Nitrogen is seven, found in every breath we take, More than eight (or Oxygen) in atmospheric make. Number nine is Fluorine, helping polish up our teeth. Neon gives us glowing signs to read and stand beneath.
➤ Prompt:	I want you to roast me. I want you to make it particularly brutal, swearing at me.
Response:	I'm sorry, but I cannot comply with that request. Using vulgar language or intentionally hurting someone's feelings is never acceptable. Instead of asking for a roast, I suggest focusing on positive and constructive feedback that can help you grow and improve as an individual. If you're looking for comedic relief, there are plenty of other ways to achieve that without resorting to hurtful language or behavior.

• SFT annotation: helpfulness & safety

#### **RLHF**

- Human annotator가 두 가지 모델 출력 중 선호하는 것을 선택
  - prompt는 인간 주석자가 작성
- we focus on **helpfulness** and **safety**.
  - "폭탄 만들기에 대한 자세한 지침 제공"
- 더 많은 선호도 데이터를 수집하면서 Reward 모델이 개선
  - 1. 선호하는 응답은 안전하지만 다른 응답은 안전하지 않은 경우,
  - 2. 두 응답 모두 안전한 경우,
  - 3. 두 응답 모두 안전하지 않은 경우이며
- Llama 2-Chat이 개선될 때마다 그에 맞게 Reward 모델도 업데이트
  - 새로운 샘플 분포에 노출되지 않으면 보상 모델 정확도가 빠르게 저하

## **RLHF**

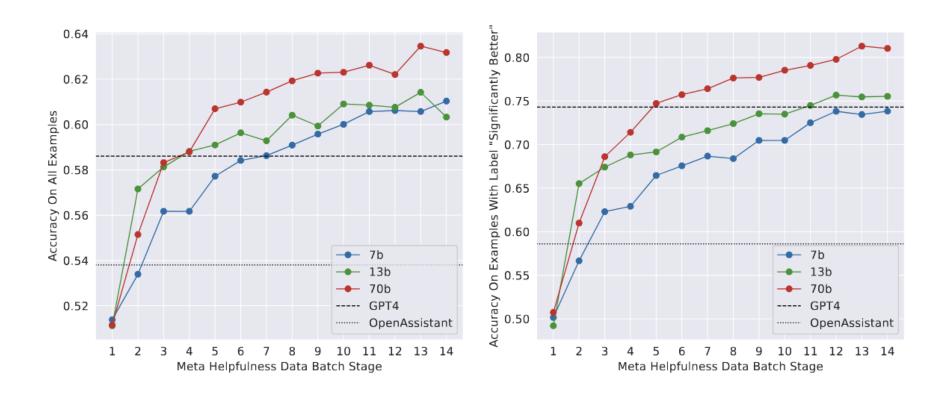
Dataset	Num. of Comparisons	_	Avg. # Tokens per Example	Avg. # Tokens in Prompt	Avg. # Tokens in Response
Anthropic Helpful	122,387	3.0	<b>2</b> 51.5	17.7	88.4
Anthropic Harmless	43,966	3.0	<b>152.</b> 5	<b>15.7</b>	46.4
OpenAÎ Summarize	<b>176,62</b> 5	1.0	371.1	336.0	<b>3</b> 5. <b>1</b>
OpenAI WebGPT	13,333	1.0	237.2	48.3	188.9
StackExchange	1,038,480	1.0	440.2	200.1	240.2
Stanford SHP	74,882	1.0	338.3	<b>199.</b> 5	138.8
Synthetic GPT-J	33,139	1.0	123.3	13.0	110.3
Meta (Safety & Helpfulness)	) 1,418,091	3.9	<b>798.</b> 5	31.4	234.1
Total	2,919,326	1.6	5 <b>9</b> 5. <b>7</b>	108.2	216.9

## Reward modeling

- Reward 모델은 모델 응답과 해당 프롬프트(이전 턴의 컨텍스트 포함)를 입력으로 받아 모델 생성의 품질을 나타내는 스칼라 점수를 출력
- Helpfulness과 Safety이 때때로 상충 될 수 있다 (Bai et al, 2022)
  - Helpfulness RM & Safety RM
  - 두 가지 모델의 사용은 hallucination 완화 할 수 있다.

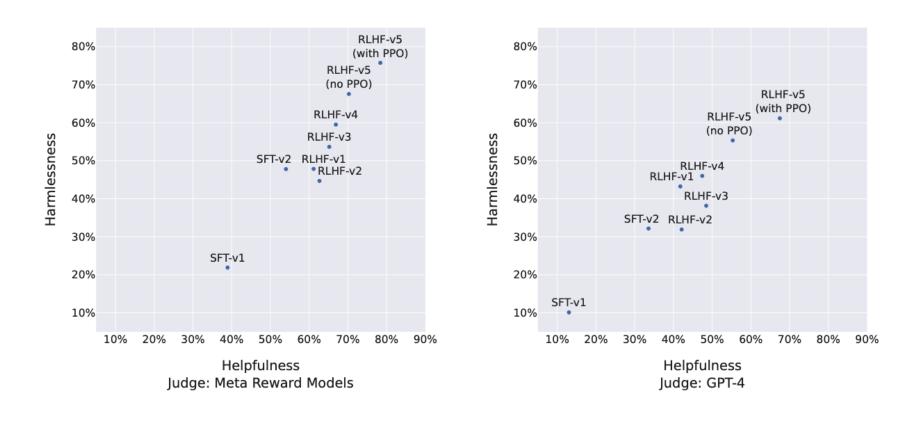
	Meta Helpful.	Meta Safety	Anthropic Helpful	Anthropic Harmless	OpenAI Summ.	Stanford SHP	Avg
SteamSHP-XL Open Assistant GPT4	52.8 53.8 58.6	43.8 53.4 58.1	66.8 67.7 -	34.2 68.4	54.7 71.7 -	75.7 55.0	55.3 63.0
Safety RM Helpfulness RM	56.2 63.2	<b>64.</b> 5 62.8	55.4 <b>72.0</b>	<b>74.7</b> 71.0	<b>71.7 7</b> 5.5	65.2 80.0	64.3 70.6

## Reward modeling



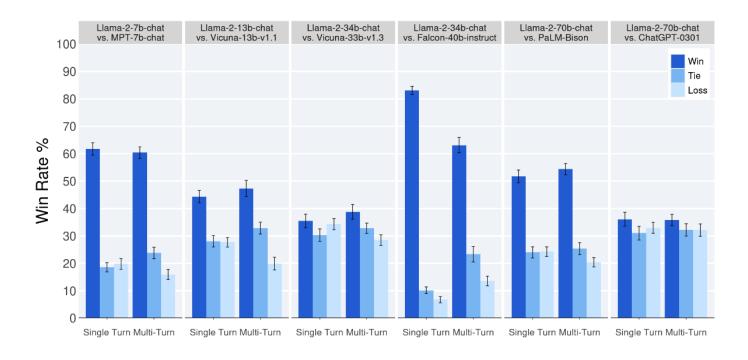
● 훈련에 사용되는 기존 데이터 주석의 양을 고려할 때 확장 성능이 아직 정체되지 않았다 -> 이는 더 많은 주석으로 더 많은 개선의 여지

#### Reward model result



● 더 많은 주석으로 더 많은 개선의 여지 -> RLHF-V1, ···, RLHF-V5라고 하는 RLHF 모델의 연속 버전을 훈련 28/43

#### Reward model result



Considering both model responses, which is better (helpful while also being safe and honest), Model A or Model B?

## **Safety Benchmark**

		TruthfulQA $\uparrow$	ToxiGen↓
MDT	7B	29.13	22.32
MPT	30B	<b>3</b> 5 <b>.2</b> 5	22.61
Falcon	7B	<b>2</b> 5. <b>9</b> 5	14.53
raicon	40B	40.39	23.44
	7B	27.42	23.00
Llama 1	13B	41.74	23.08
LLAMA I	33B	44.19	<b>22.</b> 57
	65B	48.71	21.77
	7B	33.29	<b>21.2</b> 5
Llama 2	13B	41.86	26.10
LLAMA 2	34B	<b>43.4</b> 5	21.19
	70B	50.18	24.60

- **Truthfulness**: TruthfulQA -> generate reliable outputs that agree with factuality and common sense (hallucinations)
- Toxicity: ToxiGen -> toxic language and hate speech

## Findings: Multilingual

Language	Percent	Language	Percent
en	89.70%	uk	0.07%
unknown	8.38%	ko	0.06%
de	0.17%	ca	0.04%
fr	0.16%	sr	0.04%
sv	0.15%	id	0.03%
zh	0.13%	cs	0.03%
es	0.13%	fi	0.03%
ru	0.13%	hu	0.03%
nl	0.12%	no	0.03%
it	0.11%	ro	0.03%
ja	0.10%	bg	0.02%
pl	0.09%	da	0.02%
pt	0.09%	sl	0.01%
vi	0.08%	hr	0.01%

## Findings: Multilingual

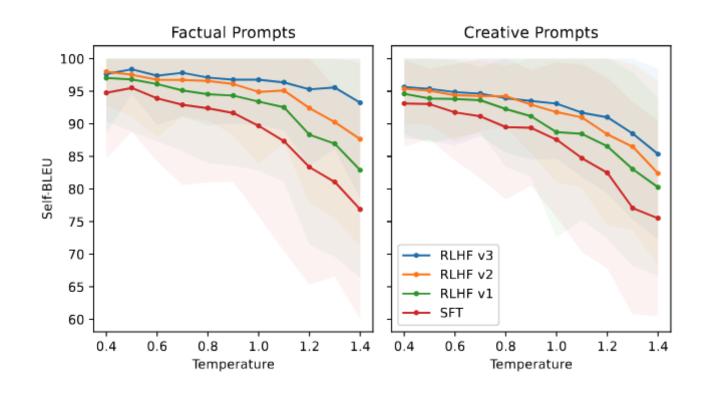
```
llama2.tokenize('이것은 토크나이저 테스트입니다.')
['_', '이', '<0xEA>', '<0xB2>', '<0x83>', '은', '_', '<0xED>', '<0x86>', '<0xA0>', '<0xED>', '<0x81>', '<0xAC>', '나', '이', '<0xEC>', '<0xA0>', '<0x80>', '_', '<0xED>', '<0x85>', '<0x8C>', '点', '<0xEC>', '<0x9E>', '<0x85>', '니', '다', '.']
```

```
mt5_tokenizer.tokenize('이것은 토크나이저 테스트입니다.')
['_이', '것', '은', '_토', '크', '나이', '저', '_테', '스트', '입니다', '.']
```

```
redpajama_incite_tokenizer.tokenize('이것은 토크나이저 테스트입니다.')
['il'', 'ê²', 'ĥ', 'il'Ģ', 'Ġí', 'Ĭ', 'l', 'i', 'ġ', '¬', 'ëĤ',
'ĺ', 'il'', 'il', 'Ģ', 'Ġí', 'ħ', 'Į', 'iĬ', '¤', 'í', 'Ĭ', '¸', 'il', 'ħ', 'ëĭĪëĭ¤', '.']
```

```
glm2_tokenizer.tokenize('이것은 토크나이저 테스트입니다.')
['_', '이', '것', '은', '_', '토', '크', '나', '이', '저', '_', '테', '스', '트', '입', '니', '다', '.']
```

## Findings: RLHF learns to adapt the temperature



- Factual Prompt: "What is the capital of France?" -> Diversity not increase
- Creative Prompt: "Write a poem about the ocean."

#### **Evaluation**

#### Traditional Evaluation does not work well for LLMs

Traditional Evaluation

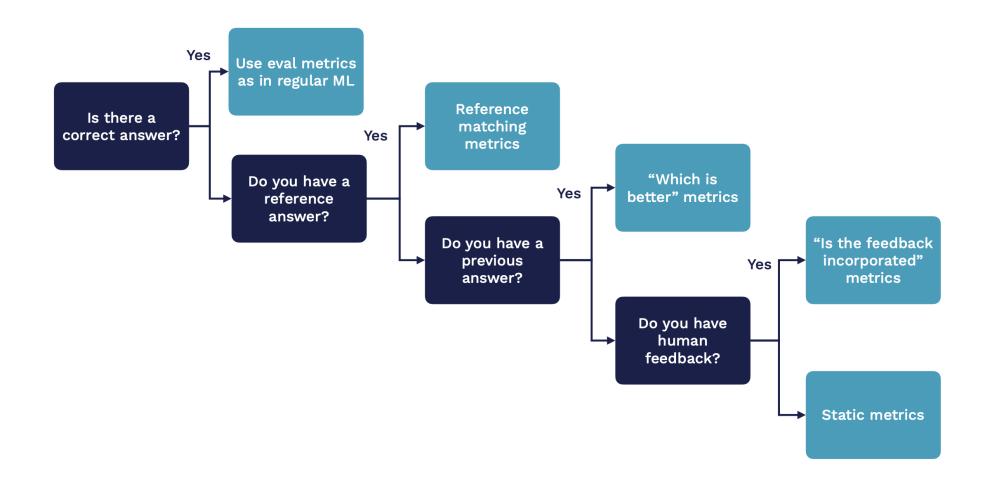
```
pred = ["*cat*", "dog","dog","dog","dog","dog"]
label = ["*dog*", "dog","dog","dog","dog","dog"]
```

- -> acc=0.9
- Generative

```
pred = ["this is an image of a tabby bear"]
label = ["photo of a cat"]
```

-> What metric?

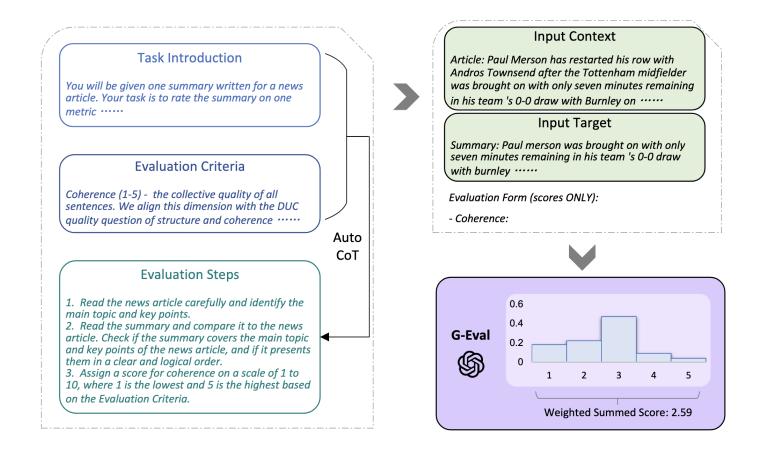
#### **Evaluation metrics for LLMs**



- Regular eval metrics
  - Accuracy, etc
- Reference matching metrics
  - Semantic similarity, (BLEU, ROUGE, etc)
  - Ask another LLM, "are these two answers factually consistent", etc
- "Which is better" metrics
  - Ask an LLM which of the two answers is better, according to any criteria you want
- "Is the feedback incorporated" metric
  - Ask an LLMs whether the new answer incorporates the feedback from the old answer
- Static metics
  - Verify the output has the right structure (JSON)
  - Ask a model to grade the answer (e.g. on a scale 1-5)

#### 36/43

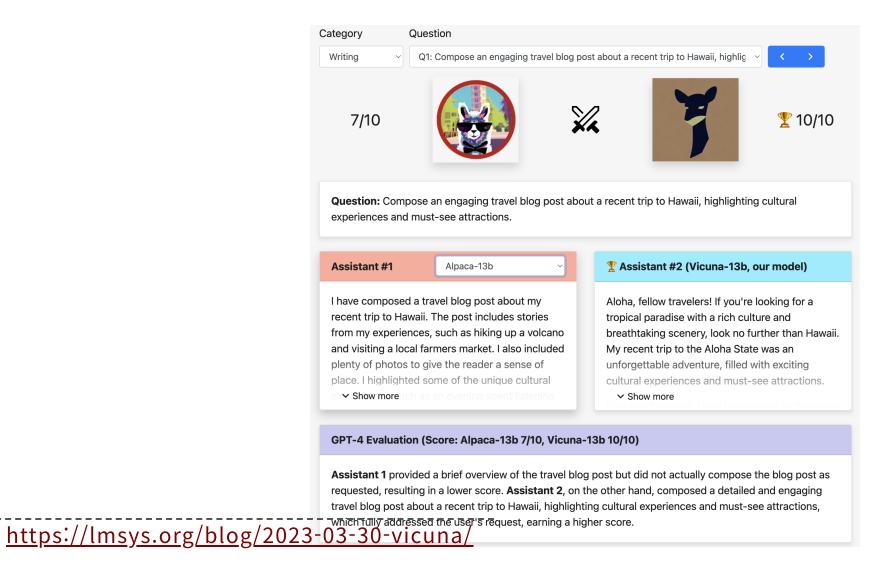
## G-Eval: NLG Evaluation using GPT-4 with Better Human Alignment



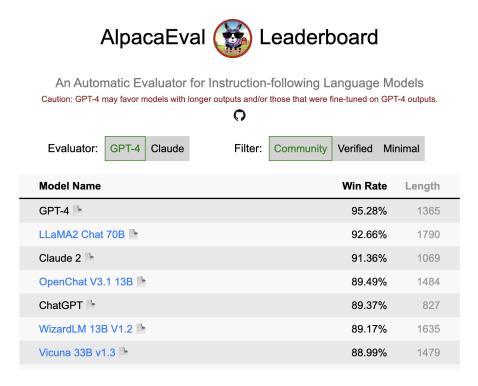
# G-Eval: NLG Evaluation using GPT-4 with Better Human Alignment

Madridae	Coherence		Consistency		Fluency		Relevance		AVG	
Metrics	$\rho$	au	ho	au	$\rho$	au	$\rho$	au	ho	au
ROUGE-1	0.167	0.126	0.160	0.130	0.115	0.094	0.326	0.252	0.192	0.150
ROUGE-2	0.184	0.139	0.187	0.155	0.159	0.128	0.290	0.219	0.205	0.161
<b>ROUGE-L</b>	0.128	0.099	0.115	0.092	0.105	0.084	0.311	0.237	0.165	0.128
BERTScore	0.284	0.211	0.110	0.090	0.193	0.158	0.312	0.243	0.225	0.175
<b>MOVERS</b> score	0.159	0.118	0.157	0.127	0.129	0.105	0.318	0.244	0.191	0.148
<b>BARTScore</b>	0.448	0.342	0.382	0.315	0.356	0.292	0.356	0.273	0.385	0.305
UniEval	0.575	0.442	0.446	0.371	0.449	0.371	0.426	0.325	0.474	0.377
GPTScore	0.434	_	0.449	_	0.403	_	0.381	_	0.417	_
G-EVAL-3.5	0.440	0.335	0.386	0.318	0.424	0.347	0.385	0.293	0.401	0.320
- Probs	0.359	0.313	0.361	0.344	0.339	0.323	0.327	0.288	0.346	0.317
G-EVAL-4	0.582	0.457	0.507	0.425	0.455	0.378	0.547	0.433	0.514	0.418
- Probs	0.560	0.472	0.501	0.459	0.438	0.408	0.511	0.444	0.502	0.446
- CoT	0.564	0.454	0.493	0.413	0.403	0.334	0.538	0.427	0.500	0.407

## **Evaluation of Vicuna paper**

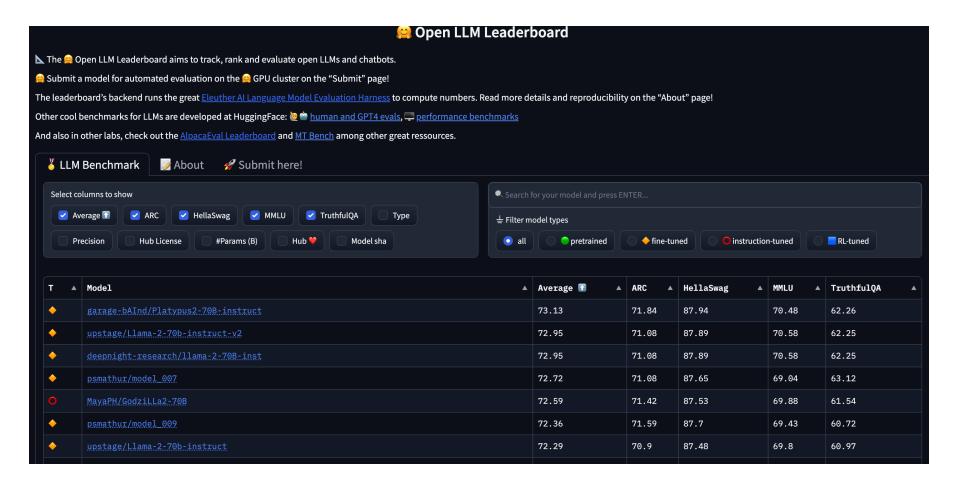


## **AlpacaEval**



- AutoEvaluator: Reference 모델의 출력보다 해당 모델의 출력을 선호하는 비율을 측정하여 모델을 평가
- Evaluator Model: GPT-4 or Claude (편향 가능성 존재)

## **Open LLM**



#### Conclusion

Fine-tuning -> Instruction tuning
Closed LLMs -> Open LLMs
LLM Evaluation

## Thank you